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Practitioner's Dock t No. 2003CH109

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Karl Bechtold

Application No.: 10/552,603

Group No.:

Filed: October 7, 2005

For: Highly Concentrated, Storage Stable Aqueous Dispersions for Stabilizing Coatings and Glazes

Commissioner for Patent  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

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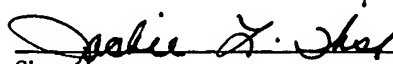
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Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
  - 1a. ☒ Form PTO-1449.
  - 1b. ☒ Copies of Information Disclosure Statement citations.
  - 1c. ☐ PCT Search Report
  - 1d. ☐ Copies of Co-pending U.S. Applications.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

7. ☒ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.

Respectfully submitted,



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<b>FORM PTO-1449</b> <b>U.S DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (37 CFR 1.98(b))	<b>ATTY. DOCKET NO.</b> 2003CH109		<b>SERIAL NO.</b> 10/552,603
	<b>APPLICANT:</b> Karl Bechtold		
	<b>FILING DATE:</b> 10/7/2005	<b>GROUP:</b>	

**U.S. PATENT DOCUMENTS**

Examiner Initial		Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,030,670	7/9/1991	Hess et al.			
	AB	5,772,920	6/30/1998	Best et al.			
	AC	4,853,471	8/1/1989	Rody et al.			
	AD	4,780,494	10/25/1988	Hess et al.			
	AE	4,752,298	6/21/1988	Burglin et al.			
	AF	5,009,669	4/23/1991	Jollenbeck et al.			
	AG	5,575,958	11/19/1996	Jollenbeck et al.			
	AH	5,498,345	3/12/1996	Jollenbeck et al.			

**FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION**

		DOCUMENT NUMBER	PUB. DATE	COUNTRY			TRANSLATION
	BA	GB 2187746	9/16/1987	Great Britian			English
	BB	DE 3511924	10/24/1985	Germany			See AA & AD above
	BC	DE 19946519	8/16/2001	Germany			English Abstract
	BD	WO 99/48997	9/30/1999	WIPO			English
	BE	EP 0225287	6/10/1987	EPO			See AE above
	BF	EP 0345219	12/6/1989	EPO			See AF above
	BG	EP 0354174	2/7/1990	EPO			English Abstract
	BH	EP 0468921	1/29/1992	EPO			See AG above
	BI	EP 0474595	3/11/1992	EPO			English Abstract
	BJ	EP 0490819	6/17/1992	EPO			See AH above
	BK	EP 0820978	1/28/1998	EPO			English
	BL						

**OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication\*\*)**

	CA		PCT Search Report for PCT/IB 2004/001172, mailed 8/5/2004
	CB		PCT International Preliminary Examination Report for PCT/IB 2004/001172, 8/5/2004
	CC		
<b>EXAMINER</b>			<b>DATE CONSIDERED</b>
<b>EXAMINER:</b> Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			